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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

24

Application Number

10/817,074

Filing Date

04/02/2004

First Named Inventor

Mitchell et al.

Art Unit

2831

Examiner Name

Thomas

Attorney Docket Number

M09US-RECY

### ENCLOSURES (Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Reply to Missing Parts/  
Incomplete Application



Reply to Missing Parts  
under 37 CFR 1.52 or 1.53



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a  
Provisional Application



Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD



After Allowance Communication to TC



Appeal Communication to Board  
of Appeals and Interferences



Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please identify  
below):

Remarks

11/14/2005 ZJUHA1 00000036 10817074

01 FC:1806

180.00 OP

02 FC:9998

20.00 OP

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Maxwell Technologies, Inc.

Signature

Printed name

Mark Wardas

Date

7 Nov 2005

Reg. No.

37,961

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

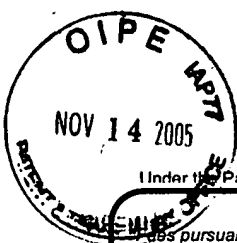
Mark Wardas

Date

7 Nov 2005

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 200

### Complete if Known

Application Number 10/817,074  
Filing Date 04/02/04  
First Named Inventor Mitchell  
Examiner Name Thomas  
Art Unit 2831  
Attorney Docket No. M109US-RECY

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☐ Deposit Account Deposit Account Number: \_\_\_\_\_ Deposit Account Name: \_\_\_\_\_

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

##### Fee Description

	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims Extra Claims Fee (\$) Fee Paid (\$)

- 20 or HP = x =

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)

- 3 or HP = x =

HP = highest number of independent claims paid for, if greater than 3.

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)

- 100 = / 50 = (round up to a whole number) x =

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Payment for filing of IDS

Fees Paid (\$)

200

#### SUBMITTED BY

Signature Registration No. 37,961 Telephone 858-503-3445  
(Attorney/Agent)  
Name (Print/Type) Mark Wardas Date 7 Nov 2005

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NOV 14 2005

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/817,974
Filing Date	04/02/2004
First Named Inventor	MITCHELL ET AL
Art Unit	2881
Examiner Name	THOMAS
Attorney Docket Number	910905-REG.

Sheet 1 of 3

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6589299	7/8/03	Missling et al.	
		US- 6697249	2/24/04	Maletin et al.	
		US- 6127474	10/03/00	Andelman	
		US- 2003/0157314	8/21/03	Penneau et al.	
		US- 6310756	10/30/01	Miura et al.	
		US- 2002/0039275	4/4/02	Takeuchi et al.	
		US- 5198313	3/30/93	Juergens	
		US- 5849431	12/15/98	Kita et al.	
		US- 2002/0122985	9/5/02	Sato et al.	
		US- 2002/0167784	11/14/02	Takatori et al.	
		US- 6795297	9/21/04	Iwaida et al.	
		US- 6614646	9/2/03	Bogaki et al.	
		US- 6236560	3/22/01	Ikeda et al.	
		US- 6031712	2/29/00	Kurihara et al.	
		US- 5557497	9/17/96	Ivanov et al.	
		US- 4917309	4/17/90	Zander et al.	
		US- 6847517	1/25/05	Iwaida et al.	
		US- 5478,363	12/26/95	Klein	
		US- 2005/0064289	3/24/05	Suzuki et al.	

**FOREIGN PATENT DOCUMENTS**

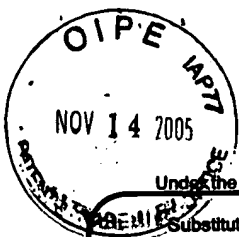
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/817,014
Filing Date	04/02/2004
First Named Inventor	M. H. CHEN ET AL
Art Unit	2837
Examiner Name	T. H. D. HAS
Attorney Docket Number	1019 US-BECL

Sheet 2 of 3

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 3528955	9/15/70	Lippman et al	
		US- 4287232	1/1/81	Bernstein et al.	
		US- 2005/0064289	3/24/05	Suzuki et al.	
		US- 5482906	1/9/96	Sakai et al.	
		US- 4175055	11/20/79	Goller et al.	
		US- 5478363	12/26/95	Klein	
		US- 4383010	5/10/83	Spaepen	
		US- 4313972	2/2/82	Goller et al.	
		US- 5593462	1/14/97	Gueguen et al.	
		US- 6589299	7/8/03	Missing et al.	
		US- 5973912	10/26/99	Kibi et al.	
		US- 6403257	6/11/02	Christian et al.	
		US- 5100747	3/31/92	Hayashida et al.	
		US- 4895775	1/23/90	Kato et al.	
		US- 6349027	2/19/02	Suhara et al	
		US- 2002/0150812	10/17/02	Kaz et al	
		US- 6906911	6/14/05	Ikedo et al.	
		US- 6022436	2/8/00	Koslow et al.	
		US- 6831826	12/14/04	Iwaida et al.	

**FOREIGN PATENT DOCUMENTS**

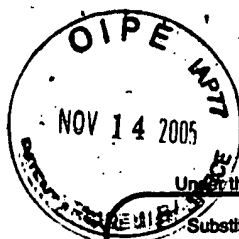
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
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Considered

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	10/817 074
Filing Date	04/02/04
First Named Inventor	WICHMANN ET AL
Art Unit	2831
Examiner Name	THOMAS
Attorney Docket Number	110905-REG

Sheet 3 of 3

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4317789	2/2/82	Grouldt et al.	
		US- 4129633	12/12/78	Biddick	
		US- 4354958	10/19/82	Solomon	
		US- 4194040	3/18/80	Breton et al.	
		US- 4500647	2/19/85	Solomon et al.	
		US- 4336217	6/22/82	Sauer	
		US- 2002/0163773	11/7/02	Niori et al.	
		US- 578655 5	7/28/98	Salto et al.	
		US- 3864124	2/4/75	Breton et al.	
		US- 4177159	12/4/79	Singer	
		US- 4175055	11/20/79	Goller et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		Japan Patent Abstract	3/3/92			
		pub # 04067610A				
		App # 02180834				

Examiner Signature	Date Considered
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